PLANNED AUDIT 2014/2015 APPENDIX 1

System Name	Status		S	Exception Issues	Audit	SS		b		þ		D.	
		ltio	Day		Opinion	Recs		Jree	Ε	gree		gree	Not
As at 12 January 2015		Audit Allocation	Total Days Used			No. of	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
JOINT													
Procurement (24 priority score)	Commence Q4	12											
SODC			0.0										
VWHDC			0.0										
Payroll (22)	Completed	32		First KFS for new auditor.									
SODC			19.5		Limited	8	2	2	4	4	2	2	0
VWHDC			19.5		Limited	8	1	1	4	4	3	3	0
Housing & Council Tax Reduction Scheme (20)	TOR sent	30											
SODC			0.0										
VWHDC			0.0										
Car Parks (20)	Completed	20											
SODC			9.5		Full	0	0	0	0	0	0	0	0
VWHDC			9.5		Full	0	0	0	0	0	0	0	0
Leisure Centres (20)	Commence Q4	30											
SODC			0.0										
VWHDC			0.0										
National Non-Domestic Rate (19)	In progress	20											
SODC			7.5										
VWHDC			7.5										
Council Tax (19)	TOR sent	20											
SODC			0.0										
VWHDC			0.0										
General Ledger (19)	In progress	20											
SODC			8.0										
VWHDC			8.0										
Sundry Debtors (19)	In progress	20											
SODC			1.5										
VWHDC			1.5										
Creditor Payments (18)	In progress	20											
SODC			5.0										
VWHDC			5.0										
Pro-Active Anti-Fraud Review (18)	In progress	20											
SODC			1.0										
VWHDC			1.0										
Travel & Subsistence (18)	Completed	20	t			1	1	1					
SODC			6.5		Full	2	0	0	0	0	2	2	0
	ı		1	i e	1	1		1					

Anti-Fraud & Corruption Policies (18)	Completed	16		2 days over due to new auditor.		1	1						
SODC	·		9.5	,	Limited	6	1	1	3	3	2	2	0
VWHDC			9.5		Limited	6	1	1	3	3	2	2	0
Capital Management and Accounting (17)	TOR sent	14				1							
SODC			0.5										
VWHDC			0.5										
Contract Monitoring (17)	Completed	20				1							
SODC			6.5		Full	3	0	0	0	0	3	2	1
VWHDC			6.5		Full	3	0	0	0	0	3	2	1
Bank Contract & Arrangements (17)	Completed	8											
SODC		-	3.0		Full	1	0	0	0	0	1	1	0
VWHDC			3.0		Full	1	0	0	0	0	1	1	0
Treasury Management (16)	In progress	14	0.0			<del>                                     </del>			_	<u> </u>	·	·	
SODC	p. og. coc		7.0										
VWHDC			7.0										
Land Charges (16)	Draft out	20	7.5	+	<u> </u>	1							
SODC	Diane out	20	10.0										
VWHDC			10.0										
Corporate Governance (16)	Completed	20	10.0	+		1							
SODC	Completed	20	7.5		Full	0	0	0	0	0	0	0	0
VWHDC			7.5		Full	٥	0	0	0	0	0	0	0
Receipt of Income Arrangements (15)	In progress	18	7.5		ruii	٠,	U	U	U	U	U	U	
SODC	iii progress	10	3.5										
VWHDC			3.0										
Partnership Performance Monitoring (15)	Commence Q4	20	3.0			<u> </u>							
SODC	Commence Q4	20	0.0										
			0.0										
VWHDC	TOD		0.0										
Waste Management & Recycling (15)	TOR sent	20											
SODC			0.5										
VWHDC	0		0.5										
Project Management (15)	Commence Q4	26											
SODC			0.0										
VWHDC			0.0										
Petty Cash (14)	Completed	16											
SODC			8.5		Satisfactory	5	0	0	1	1	4	4	0
VWHDC			8.5		Satisfactory	8	0	0	3	3	6	6	0
Temporary Accommodation (14)	Completed	24		4 days over due to level of sample									
SODC			14.0	testing required.	Limited	9	0	0	3	3	6	6	0
VWHDC			14.0		Limited	7	1	1	2	2	4	4	0
Development Management (10)	Commence Q4	20											
SODC			0.0										
VWHDC			0.0			<u> </u>							
IA PLANNED AUDIT TOTALS	-	520	257.5			69	6	6	23	23	41	39	2
				Full									
				Satisfactory	'								
				Limited									
				Nil									
		_											